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(71) Applicant: THE MEAD CORPORATION  
Mead World Headquarters Courthouse Plaza  
Northeast  
Dayton Ohio 45463(US)

(72) Inventor: Hutchings, David Alan  
5011 Alpine Rose Court  
Centerville, Ohio 45458(US)  
Inventor: Thomas, Teresa Margaret  
3224 Revlon Place  
Kettering, Ohio 45420(US)  
Inventor: Hammann IV, William Archie  
2540 Colinda Court  
Miamisburg, Ohio 45342(US)  
Inventor: Liang, Rong-Chang  
386 Lightbeam Drive  
Centerville, Ohio 45458(US)

(74) Representative: Deans, Michael John Percy et al  
Lloyd Wise, Tregear & CO. Norman House  
105-109 Strand  
London WC2R OAE(GB)

(54) Method for producing microcapsules.

(57) Processes are described for forming microcapsules having discrete capsule walls.

An emulsion of an oily core material phase is formed in a continuous aqueous phase. The oily core material phase includes isocyanatoacrylate or cyanoacrylate pre-wall reactants which react with the aqueous phase to form a pre-wall material around the oily core material phase.

Particles of the oily core material phase are either enwrapped in an amine-formaldehyde condensation product produced by in situ condensation of an amine and formaldehyde, or in a polyurea shell wall formed by polycondensation of isocyanatoacrylate reactant.

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## METHOD FOR PRODUCING MICROCAPSULES

The present invention relates to an improved method for producing microcapsules and, more particularly, to a method for producing microcapsules in which the wall is formed from a formaldehyde condensation product. While methods in accordance with the present invention are useful in producing microcapsules generally, microcapsules prepared in accordance with the present invention are particularly useful in photosensitive imaging materials of the type which employ a layer of microcapsules containing a radiation sensitive composition.

5 Imaging materials employing photosensitive microcapsules are the subject of commonly assigned U.S. Patents 4,399,209 and 4,440,836 assigned to The Mead Corporation. In the aforesaid U.S. patents, images are formed by image-wise exposing a layer of photosensitive capsules to actinic radiation and rupturing the 10 capsules typically by passing the imaging sheet containing the capsules through a pressure nip. The radiation sensitive composition contains a photohardenable or photosoftenable material which undergoes a change in viscosity or modulus upon exposure. For example, in the most typical embodiments, the radiation sensitive composition contains a polyethylenically unsaturated monomer which polymerizes upon exposure, thereby causing the phase internal to the capsules to become harder. Due to the difference in the hardness 15 of the capsules in the exposed versus the unexposed areas, only certain capsules rupture and release their contents. If the internal phase contains a dye precursor, the precursor is image-wise released, and a color image is formed upon its transfer to a developer layer. In previously disclosed embodiments, the developer layer may be present on the same support as the layer of capsules or a separate support.

As a microcapsule wall material, amine-formaldehyde polymers, and more particularly, melamine-formaldehyde polymers have been used to enwrap the photosensitive internal phase. For example, U.S. 20 Patent No. 4,608,330 discloses the encapsulation of photosensitive materials of the types described above in melamine-formaldehyde capsules. The process for preparing the microcapsules typically involves forming an emulsion of an oily internal phase composition in an aqueous phase, and enwrapping particles of the internal phase in a formaldehyde condensation product produced by *in situ* condensation of melamine and formaldehyde. This patent also discloses that a polyisocyanate may be added to the oily internal phase 25 composition. As is described in greater detail in the patent, the presence of the isocyanate functions to form a pre-wall around the internal phase upon introduction into the aqueous phase as a result of the interfacial polycondensation polymerization reaction occurring between the isocyanate groups of the oily phase and the hydroxy groups of the aqueous phase.

30 Similarly, U.S. Patent No. 4,353,809 discloses microcapsules with melamine-formaldehyde or urea-formaldehyde capsule shell walls. The reference also teaches the addition of a polyvalent isocyanate, a polyvalent isothiocyanate or a prepolymer thereof to the internal phase prior to addition to an emulsion to form a pre-wall material around the internal phase. The presence of the isocyanate, isothiocyanate, or prepolymer functions to stabilize the emulsion and enable the production of small diameter microcapsules.

35 Although the above microencapsulation processes have proven to be of great importance, they still suffer from one or more minor drawbacks.

For example, the pre-wall polycondensation reaction between the isocyanate groups and the hydroxy groups takes a relatively long time, and any reaction which occurs is confined to the oil-water interface. Due to the long pre-wall formation time period, the overall production of the microcapsules takes a relatively long 40 time, and is cost inefficient. When trying to produce the microcapsules on a commercial scale, the cost expenditures associated with requiring the pre-wall materials to react to completion results in prohibitive costs.

When the internal phase of the microcapsules contains a photosensitive composition, other problems 45 must be considered. In microcapsule imaging systems of the types described above, the photosensitive composition is extremely oxygen sensitive in that photopolymerization can only take place in its absence. Accordingly, the microcapsule walls must effectively function as a barrier material to reduce permeation by oxygen. Further, the microcapsule walls should be as water resistant as possible. While the presence of water per se does not harm the photosensitive properties of the internal phase, water can plasticize the wall 50 material and reduce the wall's barrier properties. As a result, if the barrier properties are broken down, the amount of oxygen diffusion will increase and, in turn, the photographic efficiency of the internal phase will significantly decrease. The above described prior microcapsules, although able to generally form an effective barrier against oxygen and/or water, do not form a complete barrier.

A further problem associated with the microcapsules having a pre-wall formed by addition of a polyisocyanate to the aqueous phase is the potential presence of excess unpolymerized isocyanato groups. When polyisocyanates are added to an aqueous phase during emulsification, the polyisocyanates react with

water to form a pre-wall at the oil-water interface. The product, a crosslinked polyurea polymer, is insoluble in both water and polyisocyanate, and the degree of swelling decreases as the crosslinking density increases. The polyurea polymer may eventually behave as a barrier that separates the two reactants and as such, prevents any further reaction from occurring after a layer of crosslinked pre-wall has been formed

5 at the interface. Accordingly, under normal conditions, it is expected that some unreacted isocyanates will be present in the internal phase of the capsules. Upon exposure to moisture, the unreacted isocyanates will be converted to amines which may impede the efficiency of free radical photoinitiation, especially when compounds such as the cyanine dye/borate anion initiators as disclosed in U.S. Patent No. 4,772,530 are used. In the cases where time, temperature, humidity and the concentration of unreacted amines are  
10 favorable to the formation of a network inside the capsules, a loss in image density may result.

Accordingly, there exists a need in the art for photosensitive microcapsules wherein the microcapsule walls are capable of forming rapidly, act as excellent oxygen and water barriers, are capable of providing an extended shelf life and do not interfere with the imaging chemistry of the internal phase.

We describe below microcapsules containing amine-formaldehyde shell walls are prepared by emulsifying an oily material internal phase in an aqueous medium and subsequently forming amine-formaldehyde walls around the internal phase by *in situ* polycondensation. We add an isocyanatoacrylate or cyanoacrylate pre-wall reactant material into the internal phase. Presence of the pre-wall reactant material enables the production of microcapsules which may be prepared in a relatively short period of time, which have superior barrier properties against oxygen and water, which possess an extended shelf life, and which, 20 when housing a photosensitive composition, are capable of producing highly contrasted images.

Accordingly, in one aspect thereof, the present invention provides a process for forming microcapsules having discrete capsule walls comprising the steps of: forming an emulsion of an oily core material phase in a continuous aqueous phase; forming a pre-wall around said oily core material phase; and enwrapping particles of said oily core material phase in an amine-formaldehyde condensation product produced by in situ condensation of an amine and formaldehyde; characterised in that said oily core material phase 25 includes isocyanatoacrylate or cyanoacrylate pre-wall reactants which react with said aqueous phase to form said pre-wall material.

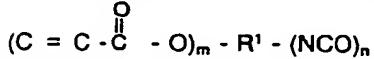
The presence of the isocyanatoacrylate or cyanoacrylate pre-wall reactant material affords the microcapsules a number of benefits. First, the cyanoacrylate pre-wall former is capable of reacting much more 30 rapidly with the aqueous phase to form a thick pre-wall around the internal phase than microcapsule formation processes which include polyisocyanates as the exclusive pre-wall former. Further, it is believed that the cyanoacrylate and isocyanatoacrylate materials react more completely than polyisocyanate pre-wall formers. This may extend the shelf life of the composition. Further, the thickness of the pre-wall surrounding the internal phase is thicker than that obtained by using a polyisocyanate as the sole pre-wall former.  
35 Accordingly, superior results in terms of oxygen and moisture protection are obtained. Moreover, despite the presence of a thicker pre-wall, the microcapsules may easily be ruptured by the application of pressure if not photohardened.

Moreover, it is hypothesized that by incorporating pendent acrylic functionality into the high molecular weight pre-wall reactant, photosensitivity may be improved. The same principle has been applied very 40 successfully in conventional photopolymerization or photocrosslinking systems wherein film speed in general increases with increasing molecular weight and functionality of the reactants.

Although the aforesaid process is characterized by the presence of an isocyanatoacrylate or cyanoacrylate pre-wall reactant, it may be particularly desirable to add an amount of a polyisocyanate pre-wall reactant to the internal phase to optimize processing conditions and photographic properties of the 45 produced microcapsules.

We have found that under some circumstances enwrapping the particles in an amine-formaldehyde condensation may not be necessary, the particles being enwrapped in a polyurea shell wall formed by polycondensation of the isocyanatoacrylate reactant.

Accordingly, the invention provides, in a second and alternative aspect thereof, a process for producing 50 photosensitive microcapsules comprising the steps of: forming an emulsion of an oily core material phase in a continuous aqueous phase, and enwrapping particles of said oily core material in a shell wall; characterised in that said oily core material includes an isocyanatoacrylate reactant of the formula:



55 wherein  $R^1$  is an alkyl, aryl, aralkyl or polyether group,  $m = 1, 2$  or  $3$  and  $n = 2$  or  $3$ ; and in that said shell wall comprises a polyurea shell wall formed by the polycondensation of said isocyanatoacrylate reactant with water from said aqueous phase.

The present invention also extends to in an imaging sheet comprising a support having on one surface

thereof a layer of photosensitive microcapsules having discrete walls, the internal phase of the micro-capsules including a radiation sensitive material and an isocyanatoacrylate or cyanoacrylate pre-wall reactant prior to wall formation, the microcapsules being formed as described above.

The invention is hereinafter more particularly described by way of example only with reference to the 5 accompanying drawings, in which:-

Fig. 1 depicts D<sub>min</sub> behavior at 35°C -85% Relative Humidity for Examples 1 and 2 referred to below; and

Fig. 2 depicts H & D curves for Examples 1 and 2 before and after subjecting the microcapsules to accelerated aging conditions.

10 The microcapsules produced by our process may be used for a number of different practical applications such as in agricultural chemicals, medicines, perfumes, chemicals, adhesives, liquid crystals, detergents, dyes, dye precursors, developers, catalysts, rust preventing agents and photographic materials. It is particularly preferred to use the microcapsules as photographic materials, and still more particularly, in microencapsulated imaging systems such as those described in U.S. Patent Nos. 4,399,209 and 4,440,836.

15 To initiate a process in accordance with the present invention an aqueous phase is provided. The aqueous phase serves as the continuous phase of an oil-in-water emulsion in which the oily core material phase is dispersed.

20 The aqueous phase preferably includes agents known as emulsifiers and system modifiers to control the size and uniformity of the microcapsules and to produce individual mononuclear capsules in preference to clusters of microcapsules. Useful emulsifiers and system modifiers are well known in the art. Their selection will depend on the type of microencapsulation process used and the nature of the wall formers. For making melamine-formaldehyde microcapsules a combination of methylated polygalacturonic acid and sulfonated polystyrenes is preferred. The polygalacturonic acid acts as both a stabilizer and a viscosity modifier for the aqueous phase, and the sulfonated polystyrenes aid in emulsification.

25 Methylated polygalacturonic acids having a methylation degree greater than 50% are generally available. The preferred polygalacturonic acids have a methylation degree between 40 and 70% and still more preferably between 55 and 65%. However, acids having a methylation degree less than 40% or greater than 70% are also believed to be useful.

30 The most typical examples of useful acids are commonly known as pectins. Since pectin is a naturally occurring product, its composition will vary with the season and the source from which it is derived. As a result of this variation, some pectins will provide better microcapsules than others. Methylated polygalacturonic acid is generally added to the aqueous phase in an amount of about 1.0 to 8% based on the amount of water in the aqueous phase, with the preferred amount being about 2 to 4%.

35 Typical examples of sulfonated polystyrenes useful in practice of the present invention are Versa TL500 and Versa TL503, products of National Starch Co. Useful sulfonated polystyrenes are generally characterized by a sulfonation degree of over 85% and preferably over 95%. The molecular weight of the sulfonated polystyrene is preferably greater than 100,000 and more preferably about 500,000-1,000,000 but other molecular weights can also be used. The sulfonated polystyrene is usually added to the aqueous phase in an amount of about 1 to 6% by weight. The quality of this product has also been found to vary with the 40 method by which it is manufactured such that certain sulfonated polystyrenes are better than others.

Once the aqueous phase has been prepared, the oily core material phase, including the isocyanatoacrylates or cyanoacrylates pre-wall reactant, and optionally, other polyisocyanates, is dispersed in the aqueous phase.

45 A primary constituent of the oily core material internal phase is an organic, water-immiscible liquid. Liquids which can be encapsulated by the process of this invention include natural mineral oil, animal oil, vegetable oil and synthetic oil. Examples of such mineral oils are petroleum and its fractions such as kerosine, gasoline, naphtha and paraffin oil. Examples of animal oils are fish oil and lard. Examples of vegetable oils are groundnut oil, linseed oil, castor oil and corn oil. Examples of synthetic oils are a biphenyl compound (e.g., isopropylbiphenyl and isoamylbiphenyl), a terphenyl compound (e.g., compounds described in German Patent Application (OLS) No. 2,153,635), a phosphoric acid compound (e.g., triphenyl phosphate), a naphthalene compound (e.g., compounds described in German Patent Application (OLS) No. 2,141,194), a methane compound (e.g., compounds described in German Patent Application (OLS) No. 2,153,634), a phthalic acid compound (e.g., diethyl phthalate, dibutyl phthalate, diethyl phthalate), and a salicylic acid compound (e.g., ethyl salicylate).

55 In the preferred embodiment, the water-immiscible liquid takes the form of a photosoftenable or photohardenable radiation sensitive composition and the composition is used as part of a photographic imaging system. Photohardenable compositions such as photopolymerizable and photocrosslinkable materials increase in viscosity or rigidity upon exposure to radiation and yield positive images.

Ethylenically unsaturated organic compounds are useful radiation curable materials. These compounds contain at least one terminal ethylene group per molecule. Typically, they are liquid. Polyethylenically unsaturated compounds having two or more terminal ethylene groups per molecule are preferred. An example of this preferred subgroup is ethylenically unsaturated acid esters of polyhydric alcohols, such as 5 trimethylol propane triacrylate (TMPTA) and dipentaerythritol hydroxypentaacrylate (DPHPA).

The internal phase also typically includes a photoinitiator. A preferred photoinitiator system for use in practice of the present invention includes reactive ionic dye-counter ion complexes described in U.S. Patent Nos. 4,771,530 and 4,771,541. A preferred class of ionic dye-counter ions is cationic dye borates and still more particularly cyanine dye borates. Typically the borate is a triphenylalkyl borate such as a triphenylbutyl borate. Other dye complexes such as Rose Bengal iodonium and Rose Bengal pyrillyum complexes may also be used.

Examples of other photoinitiators useful in practice of the present invention include diaryl ketone derivatives, quinones and benzoin alkyl ethers. Where ultraviolet sensitivity is desired, suitable photoinitiators include alkoxy phenyl ketones, O-acylated oximinoketones, polycyclic quinones, phenanthrenequinone, naphthoquinone, diisopropylphenanthrenequinone, benzophenones and substituted benzophenones, xanthones, thioxanthones, halogenated compounds such as chlorosulfonyl and chloromethyl polynuclear aromatic compounds, chlorosulfonyl and chloromethyl heterocyclic compounds, chlorosulfonyl and chloromethyl benzophenones and fluorenones and haloalkanes. In many cases it is advantageous to use a combination of photoinitiators. The photoinitiator is typically added in an amount of about 0.05 to 10 parts per 100 parts of internal phase.

To improve the film speed of the photohardenable composition it may be advantageous to include an autoxidizer and/or other additive material in the photohardenable composition. An autoxidizer is a compound which is capable of consuming oxygen in a free radical chain process.

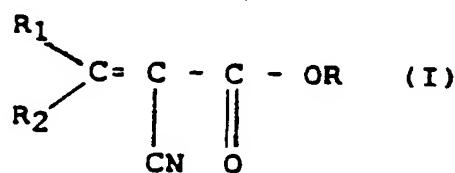
25 Examples of useful autoxidizers are N,N-dialkylanilines. Particularly preferred N,N-dialkylanilines are substituted with an alkyl group in the ortho-position and include 2,6-diisopropyl-N,N-dimethylaniline, 2,6-diethyl-N,N-dimethylaniline, N,N,2,4,6-pentamethylaniline and p-t-butyl-N,N-dimethylaniline.

It may also be desirable to utilize an additional material to improve the photosensitive properties of the photohardenable composition such as film speed. Examples of these compounds include thiols such as acylthiohydroxamates, 2-mercapto-benzothiazole, 6-ethoxy-2-mercaptobenzothiazole, 2-mercaptopbenzoxazole, phenylmercaptoptriazole, and heptylmercaptoptriazole. Disulfides of the above listed thiol compounds are also useful additives.

The autoxidizers and/or additive compounds are preferably used in examples of our process in concentrations of about 0.1 to 10 parts per 100 parts of the photohardenable composition.

35 The internal phase also includes a cyanoacrylate or isocyanatoacrylate pre-wall reactant. The pre-wall reactant rapidly reacts with the water and other nucleophiles present in the aqueous phase at the surface of the internal phase droplet interface to form a pre-wall around the internal phase droplets. The cyanoacrylate or isocyanatoacrylate is typically added in an amount of about 1 to 25 parts per 100 parts internal phase.

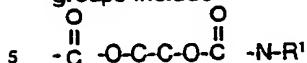
When a cyanoacrylate pre-wall reactant is selected, it is preferably of formula (I)



50 wherein R is an alkyl, alkenyl, alkynyl, aryl, aralkyl, acrylate, dialkenyl, hydroxyl, dihydroxyl, polyhydroxyl methacrylate or cyanoacrylate group or a multifunctional group including an isocyanato group; and R<sub>1</sub> and R<sub>2</sub> can be the same or different and each represent a hydrogen atom or an alkyl group having less than 10 carbon atoms. Examples of dihydroxyl groups include ethylene propylene glycols and other diols containing between 2 and 10 carbons wherein the hydroxyl groups are randomly or terminally located.

55 Examples of polyhydroxyl groups include triols such as glycerol and trimethylol propane, polyols derived from methylol formation of melamine and other formaldehyde reactive systems, polyols derived from ethylene or propylene oxide propoxylation or ethoxylation of resols, or other phenolic-formaldehyde adducts and polyols derived from propoxylation or ethoxylation of methylol derivatives of melamine, urea or other formaldehyde reactive systems, as well as polycyanoacrylates derived from adducts of epoxy

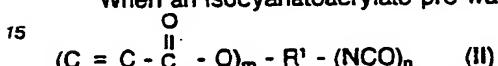
compounds having multifunctionality. Examples of alkynyl groups include propynyl and isobutynyl groups. Examples of alkenyl groups include propenyl and 1,3-pentadienyl groups. Examples of multifunctional groups include



wherein R' is a multifunctional isocyanato group.

When the cyanoacrylate of Formula I is added to the aqueous phase, the presence of the water from the aqueous phase initiates anionic polymerization of the cyanoacrylate to form a polycyanoacrylate polymer having high barrier properties. Examples of other cyanoacrylates which may be used in practice of 10 the present invention are set forth in U.S. Patent Nos. 2,721,858; 2,794,788; 2,763,585; 3,221,745 and 2,753,251. Also contemplated for use in practice of present invention are other activated acrylates wherein the cyano group is replaced by other reactive groups such as trifluoromethyl, aldehyde, ketone, ester, carboxyl, nitromethyl and phenyl groups.

When an isocyanatoacrylate pre-wall reactant is selected, it is preferably of formula (II)



wherein R' is an alkyl, aryl, aralkyl or polether group and m and n independently represent 1, 2 or 3. Examples of suitable isocyanatoacrylates of Formula II include isocyanatoethylacrylate, isocyanatoethyl-methacrylate, and a condensate of 2-hydroxyethyl(meth)acrylate with a polyisocyanate.

20      When the isocyanatoacrylate is added to the aqueous phase, a polycondensation reaction occurs between the isocyanato groups and the water from the aqueous phase to form a primary amine having acrylic functionality. In the presence of other polyisocyanates, a polyurea network with acrylic functional groups attached to it is formed at the interface. When n = 1 a polyisocyanate must be present in the internal phase to form the polyurea network. However, if n = 2 or 3, the isocyanatoacrylate additive alone 25 may react with water to form a polyurea network. In fact, in these instances, the thickness and barrier properties of the walls are sufficient enough to enable the microcapsules to be shelf stable for extended periods of time. While it is preferred to further enwrap the microcapsules in an amine-formaldehyde condensation product to provide additional barrier protection, it is not required.

30      The internal phase preferably also includes a diisocyanate or polyisocyanate compound in addition to the cyanoacrylate or isocyanatoacrylate pre-wall reactant. As is known in the art, the polyisocyanate compound is capable of reacting with the water from the aqueous phase by polycondensation to form a thin layer of a polyurea polymer around the internal phase. A particularly preferred polyisocyanate is Desmodur N-100, a biuret of hexamethylene diisocyanate and water available from Mobay Chemical Company. Other isocyanates, such as SF-50, may be used in our processes. The polyisocyanate is typically added in an 35 amount of about 2 to 15 parts per 100 parts of internal phase.

36      If, as is preferred, the polyisocyanate compound is used in combination with the cyanoacrylate pre-wall reactant, when the combination is added to the aqueous phase, the resulting wall material comprises an interpenetrating polymer network wherein the network includes a polycyanoacrylate polymer which is interpenetrated by a polyurea polymer. The resulting material has both high resilience because of the 40 presence of the polyurea polymer and high strength because of the presence of the polycyanoacrylate polymer.

41      When the polyisocyanate compound is used in combination with the isocyanatoacrylate pre-wall reactant, the resulting pre-wall material comprises a polyurea polymer. Further, the in situ formation of a low molecular weight primary amine at the interface improves the conversion of isocyanate groups. This 45 polymer differs from that obtained by the reaction of a polyisocyanate compound with water in that a number of terminal amino groups are replaced with acrylate groups. As will be discussed in greater detail later, when the internal phase contains a photohardenable imaging compound, the presence of acrylate groups instead of amino groups in the pre-wall leads to a microcapsule having superior photographic properties.

50      The internal phase may also include a catalyst for improving the rate of pre-wall reaction. Examples of catalysts include dibutyl tin dilaurate or diacetate. Alternatively, the catalysts may be included in the aqueous phase.

55      The internal phase composition may also include an image-forming agent. Various color-forming agents can be used in the internal phase. For example, images can be formed by the interaction of color formers and color developers of the type conventionally used in the carbonless paper art. In addition, images can be formed by the color producing interaction of a chelating agent and a metal salt or by the reaction of certain oxidation-reduction reaction pairs, many of which have been investigated for use in pressure-sensitive carbonless papers.

Typical color-forming agents useful in the aforesaid embodiments include colorless electron donating type compounds. Representative examples of such color formers include substantially colorless compounds having in their partial skeleton a lactone, a lactam, a sulfone, a spiropyran, an ester or an amido structure such as triaryl methane compounds, bisphenylmethane compounds, xanthene compounds, fluorans, thiazine compounds, spiropyran compounds and the like. Cyan, magenta and yellow color precursors useful in practice of the present invention are commercially available.

Once the internal phase has been dispersed in the aqueous phase, the emulsion is emulsified until an average droplet diameter ranging from about 2.5 to about 10 microns is produced. The preferred pH for the pre-wall formation step is between 6-9, and more preferably, between 7.5 and 8.5. This is typically accomplished by utilizing agitation means such as stirrers and blenders.

Blending is continued until most of the pre-wall reaction has ceased, whereupon solutions of the amine, typically urea, melamine, dimethylol urea and the like, and formaldehyde or a solution of a precondensate thereof are/s added to the emulsion. Depending upon the type of microcapsule walls to be formed, the pH of the emulsion is adjusted appropriately. For example, a pH of about 4.0 is useful in making urea-formaldehyde microcapsules whereas a pH of about 6.0 is used in making melamine-formaldehyde capsules. The capsule walls gradually form around the internal phase by *in situ* condensation of the amine with the formaldehyde. The condensation product can be modified by the co-condensation of a polyhydric phenol such as resorcinol if desired.

Among many well known encapsulation techniques that can be used in our processes are: Kiritani et al., U.S. Patent No. 3,796,669 for urea-resorcinol-formaldehyde capsules; Forris et al., U.S. Patent Nos. 4,001,140; 4,087,376 and 4,089,802 for melamine-formaldehyde capsules. On reaction, any amine-formaldehyde condensate separates from the solution which wets and enwraps the capsule core material. After the walls have been solidified, the capsules may be separated from the medium and washed.

One method for conducting the *in situ* condensation reaction involves the following steps:

- 25 (i) Adding amine and formaldehyde or amine-formaldehyde precondensate to the agitated emulsion
- (ii) Adjusting pH to acidic conditions
- (iii) Polymerizing the amine and formaldehyde while stirring the system
- (iv) Heating
- (v) Reacting the excess formaldehyde with an excess of amine.

30 To prepare microcapsules having melamine-formaldehyde walls, the following procedure can be used:

- (i) Adding melamine-formaldehyde precondensate to the system
- (ii) Adjusting pH to acidic conditions
- (iii) Polymerizing pre-condensate
- (iv) Heating

35 (v) Reacting excess formaldehyde with an excess of amine.

Another process involves the following sequence of steps:

- (i) Adding an aqueous solution of urea to the emulsion
- (ii) Adding an aqueous solution of resorcinol to the emulsion
- (iii) Adding an aqueous solution of formaldehyde to the emulsion
- 40 (iv) Heating to 65°C
- (v) Adjusting the pH to 9.0
- (vi) Adding a solution of sodium bisulfite to scavenge excess formaldehyde
- (vii) Removing an aqueous dispersion of the microcapsules.

45 The condensation reaction proceeds under acid conditions, e.g., pH of 7 or less; however, the reaction is preferably carried out at a pH in the range of 2.5 to 6.0. The temperature of the encapsulation medium should be maintained at about 10 to 90°C, preferably about 25 to 85°C and more preferably about 45 to 75°C.

50 Among the acid catalysts that may be used are low molecular weight carboxylic acids, e.g., formic acid, oxalic acid, acetic acid, etc.; inorganic acids, e.g., sulfuric acid, hydrochloric acid, phosphoric acid, etc.; and acidic or easily hydrolyzable salts such as aluminum sulfate, ammonium nitrate, ammonium chloride, ammonium sulfate, etc. Ammonium salts are preferred as they seem to provide a stronger and less permeable capsule. If urea-formaldehyde capsule walls are produced, the ammonium salts are usually employed in an amount of about 2 to 20% by weight based on the amount of urea.

55 The amine and formaldehyde are preferably present in the encapsulation medium, whether as the free monomer or a precondensate, in a molar ratio of formaldehyde to amine of at least 1.5 and preferably about 2.0 to 3.0.

To reduce malodor and the incidence of skin irritation, when the polymerization reaction is complete, it is desirable to remove or react the excess formaldehyde. This can be accomplished by using any one of

several known techniques such as the addition of urea, sulfite, bisulfite, ammonium salts, 2-imidazolidone, ethylene-n-methylolacrylamide-vinylacetate copolymer, defatted soybean powder, isobutylene/maleic anhydride copolymer/ammonium salt, 5-methylbenzimidazole, dimethyloldihydroxyethyleneurea, carbohydrazide, water insoluble amino group containing polymers such as Amberlite IR45, 2-oxazolidone and dihydroxyacetone (dimer form). These materials react with the formaldehyde to form a product which does not interfere with the performance of the medium. The addition of the urea or sodium bisulfite to scavenge formaldehyde is preferably performed in a single step after encapsulation and prior to storage. The pH and temperature of the medium should be adjusted for this reaction. The bisulfite is preferably reacted at a pH of 6 to 9 from room temperature to 85 °C for about two hours. The urea can be reacted at a pH of 3.8 to 9 at a temperature of 20-85 °C for about 40 minutes.

The mean microcapsule size used generally ranges from about 1 to 25 microns.

To produce an imaging sheet capable of providing images, the photosensitive microcapsules are coated onto a substrate. The most common substrate for imaging sheets is a transparent film or paper. Transparent substrates such as polyethylene terephthalate and translucent substrates can also be used. Another preferred substrate for the microcapsules is aluminized polyethylene terephthalate. The microcapsules can be located on either the top or bottom surface of a transparent substrate to form an imaging sheet.

To form an image, the substrate is exposed to actinic radiation such that the microcapsules are image-wise exposed. Typically, exposure of the microcapsules to actinic radiation (ultraviolet, visible or infrared wavelengths) causes the internal phase of the microcapsules to polymerize, thereby preventing escape of the image-forming agent from the imaging sheet. Thereafter, the microcapsules on the imaging sheet are subjected to a uniform rupturing force in the presence of a developer material to form the images.

When the image-forming agent is a colorless color-forming agent, it is necessary that the developer sheet include a developer material which is capable of reacting with the color-forming agent to form an image. Illustrative examples of color developers useful in conjunction with the embodiment employing the aforesaid color precursors are clay minerals such as acid clay, active clay, attapulgite, etc.; organic acids such as tannic acid, gallic acid, propyl gallate, etc.; acid polymers such as phenol-formaldehyde resins, phenol acetylene condensation resins, condensates between an organic carboxylic acid having at least one hydroxy group and formaldehyde, etc.; metal salts or aromatic carboxylic acids such as zinc salicylate, tin salicylate, zinc 2-hydroxynaphthoate, zinc 3,5-di-tert-butyl salicylate, oil soluble metal salts or phenol-formaldehyde novolak resins (e.g., see U.S. Patent Nos. 3,672,935; 3,732,120 and 3,737,410) such as zinc modified oil soluble phenol-formaldehyde resin as disclosed in U.S. Patent No. 3,732,120 zinc carbonate etc. and mixtures thereof. A preferred class of glossable developers is described in our European Patent Application 87307985.9 (Publication No EP-A-0260129).

Full-color imaging systems are described in U.S. Patent No. 4,576,891, European Published Application No. 0223587 and U.K. Patent No. 2,113,860. These systems employ imaging sheets having three sets of microcapsules which respectively contain cyan, magenta and yellow color precursors. As explained in more detail in the above-listed references, each set of microcapsules is primarily sensitive in a different wavelength band such that the microcapsules can be individually exposed with minimum cross-talk. In panchromatic systems, the cyan, magenta, and yellow forming photosensitive microcapsules are respectively sensitive to red, green and blue light.

To produce full-color images, each set of microcapsules is image-wise exposed to actinic radiation. This requires exposures of three different wavelengths, each wavelength corresponding to the sensitivity of the photohardenable composition selected for the corresponding set of microcapsules. The three different wavelengths may be provided from one broad band radiation source or three independent monochromatic radiation sources.

After exposure, the substrate is brought into contact with a developer material, and the microcapsules are ruptured in the presence of the developer material to produce a full color image.

When used in photographic imaging systems, the microcapsules afford a number of advantages. First, the reaction time of the cyanoacrylate or isocyanatoacrylate pre-wall reactant with water from the aqueous phase to form a thick pre-wall is shortened. In the case where a cyanoacrylate pre-wall reactant is used, its pre-wall formation is not a diffusion-controlled reaction, but rather, an ionic polymerization. It is hypothesized that ionic polymerization, as opposed to diffusion controlled polycondensation, enables chain propagation through the interface and rapid formation of a thick pre-wall to occur. This improves the rate of production of the microcapsules and makes their production more feasible from a commercial standpoint. Further, the amount of residual isocyanate after reaction is maintained at a minimum. As discussed above, this results in a better shelf life and image quality for the microcapsules.

Further, the pre-walls formed by utilizing the cyanoacrylate or isocyanatoacrylate pre-wall reactant are superior barrier materials against oxygen and water. In photoimaging systems, the presence of these

materials, and particularly oxygen, can significantly reduce the efficiency of photoinitiation. Therefore, it is critical that either or both the primary wall and the pre-wall function to effectively prevent diffusion of oxygen into the internal phase. The pre-walls are thicker, and, in the case of the pre-walls formed by using a cyanoacrylate pre-wall former, the presence of the nitrile groups from the pre-wall former further improves the barrier properties and stability of the microcapsules. Conversely, the pre-walls may not be so thick as to prevent complete rupture upon the application of pressure to the microcapsules.

Moreover, due to the excellent barrier properties of the pre-walls, the resulting capsules are resistant to oxygen and water over extended periods of time, thereby extending the shelf life of the microcapsules. The resistance against oxygen permeation at high humidity reduces the risk of the microcapsules to reciprocity failure due to low intensity exposures. This type of reciprocity failure is caused by the diffusion of oxygen into the internal phase which prevents the composition from fully polymerizing upon low intensity exposures.

A further advantage of the present microcapsules is that the pre-walls do not have an excess number of free terminal primary amine groups caused by reaction of residual isocyanate groups with water. As discussed above, it is hypothesized that the presence of excess primary amines in the internal phase can prevent the composition from achieving a minimum image density. Accordingly, by maintaining the amount of primary amines at a minimum, image density can be improved.

In addition, the terminal acrylate portion of the pre-wall reactant may, to some extent, polymerize upon exposure to actinic radiation. This phenomenon particularly occurs when utilizing an unsaturated isocyanatoacrylate pre-wall reactant. This enables the photosensitive monomer to react directly with the pre-wall and thereby improve the speed of the microcapsules.

The present invention is illustrated in more detail by the following non-limiting examples.

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### Synthesis Example 1

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As a control, the following internal phase was encapsulated in melamine formaldehyde microcapsules using the following procedure.

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Trimethylolpropane triacrylate (TMPTA)	105 grams
Dipentaerythritol hydroxypentaacrylate (DPHPA)	45 grams
2,6-di-tert-butyl-4-methylphenol (BHT)	0.0375 grams
Cyan color former (Hilton Davis HD 5430)	18 grams
1,1'-di-n-heptyl-3,3,3'-tetra-methylindocarbocyanine triphenyl-n-butyl borate (photoinitiator)	1.2 grams
2,6-diisopropyl-n,n-dimethylaniline (DIDMA)	0.5 grams
Desmodur N-100 Polyisocyanates (N-100)	10 grams

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#### A. Preparation of Internal Phase

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1. Add TMPTA, DPHPA and BHT to a beaker and heat while stirring to about 105°C for about 30 minutes;
2. Slowly stir HD-5430 into beaker;
3. Stir at 105°C for 30 minutes;
4. Cool to 70°C gradually (about one hour);
5. Add photoinitiator;
6. Stir at 70°C for 30 minutes;
7. Add DIDMA to beaker;
8. Add N-100 to beaker;
9. Stir 5 minutes with hot plate set at 70°C.

#### B. Preparation of External Phase

1. Add 430.0 grams water to a 1200 ml stainless steel beaker and stir with an overhead mixer at 500 rpm with 6 bladed impeller (45° C pitch);
2. Cover beaker with foil and slowly sift in 8.0 grams Versa TL-502B;
3. Stir for 15 minutes at 500 rpm;
- 5 4. Mix 12.65 grams pectin with .24 grams sodium bicarbonate, increase stir rate in beaker to 1500 rpm, add mixture to beaker and stir for two hours at 1500 rpm;
5. Adjust pH to 6.0 with 10% NaOH;
6. Stir at 3000 rpm for 10 minutes.

10 C. Emulsification of Internal phase in External Phase

1. Slowly add internal phase to external phase over a period of about 30 seconds;
2. Emulsify at 3000 rpm for 15 minutes.

15 D. Formation of Melamine Formaldehyde Exterior Walls

1. Add 198.9 grams of water into a glass beaker and stir;
2. Slowly add 17.7 grams of melamine into the beaker;
3. Slowly add 29.4 grams of 37% formaldehyde into the beaker and cover with foil;
- 20 4. Heat the beaker to 60° C over a period of 30 minutes and cure for 60 minutes at 60° C;
5. Reduce agitation rate of emulsification to 1500 rpm;
6. Pour melamine-formaldehyde precondensate into emulsion;
7. Adjust pH to 6.0 by adding H<sub>3</sub>PO<sub>4</sub>;
8. Cover beaker with foil and insert into a 70° C water bath;
- 25 9. Cure for 60 minutes;
10. Add 46.2 grams of 50% by weight aqueous urea solution;
11. Cure for 40 minutes;
12. Reduce agitation rate to 500 rpm and add 15 grams of 10% by weight NaOH;
13. Cool to room temperature by stirring overnight at 500 rpm;
- 30 14. Isolate capsules.

Synthesis Example 2

35 The identical internal phase was encapsulated according to Synthesis Example 1 with the exception that 10 grams of Superglue cyanoacrylate was added to the internal phase directly after the addition of N-100 and subsequent stirring step.

40 Example 1

A microcapsule formulation was produced by combining the following compositions:

45	Synthesis Example 1 Microcapsules Dow Add 25/Triton X-100 Surfactant Solution Polyvinyl alcohol (PVA205)	92.8% 5.2% 2.0%
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50 The formulation contained 18% solids and was coated onto an aluminized polyethylene terephthalate sheet. The following tests were performed on the microcapsules using a step wedge:

(a) Reciprocity behavior from 4 to 256 seconds at 35° C-85% relatively humidity

(b) Temperature performance from 20° C to 70° C at .5 second exposures on scanning sensitometer

55 (c) Accelerated aging at 105° C for 0 and 24 hours. The results of tests (a) and (c) are pictorially represented in Figures 1 and 2.

Example 2

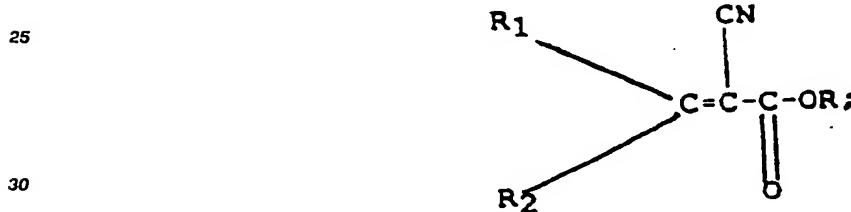
The tests of Example 1 were repeated except that the microcapsules of Synthesis Example 2 were substituted for the microcapsules of Synthesis Example 1. The results of tests (a) and (c) are pictorially represented in Figs. 1 and 2. With respect to test (b), the microcapsules exhibited superior performance at 50 °C and 70 °C as compared to the microcapsules of Example 1.

10 **Claims**

1. A process for forming microcapsules having discrete capsule walls comprising the steps of: forming an emulsion of an oily core material phase in a continuous aqueous phase; forming a pre-wall around said oily core material phase; and enwrapping particles of said oily core material phase in an amine-formaldehyde condensation product produced by *in situ* condensation of an amine and formaldehyde; characterised in that said oily core material phase includes isocyanatoacrylate or cyanoacrylate pre-wall reactants which react with said aqueous phase to form said pre-wall material.

2. A process according to Claim 1, further characterised in that said condensation product comprises a melamine-formaldehyde resin or a urea-formaldehyde resin.

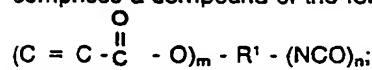
20 3. A process according to Claim 1 or Claim 2, further characterised in that said pre-wall reactant comprises a compound of the formula



35 wherein R is an alkyl, alkenyl, alkynyl, aryl, aralkyl, dialkenyl, dihydroxyl, polyhydroxyl (meth)acrylate or cyanoacrylate group or a multifunctional group including an isocyanato group; and R<sub>1</sub> and R<sub>2</sub> are the same or different and each represent a hydrogen atom or an alkyl group having less than 10 carbon atoms.

40 4. A process according to Claim 3, further characterized in that the cyano group of said cyanoacrylate pre-wall reactant is substituted with a group selected from trifluoromethyl, aldehyde, ketone, ester, carboxyl, nitrophenyl and phenyl groups.

45 5. A process according to Claim 1 or Claim 2, further characterised in that said pre-wall reactant comprises a compound of the formula:

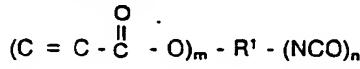


50 wherein R<sup>1</sup> is an alkyl, aryl, aralkyl, or polyether; and wherein m and n independently represent 1, 2 or 3.

55 6. A process according to any preceding claim, further characterised in that said oily core material phase further comprises a diisocyanate or polyisocyanate pre-wall reactant in addition to said isocyanatoacrylate or said cyanoacrylate pre-wall reactant, said additional diisocyanate or polyisocyanate reactant being capable of reacting with the water of said aqueous phase to form a pre-wall material around said oily core material phase.

7. A process according to Claim 6, wherein the first mentioned reactant comprises a cyanoacrylate pre-wall reactant, the process being further characterised in that the pre-wall produced by said additional pre-wall reactant interpenetrates the pre-wall produced by said first mentioned reactant.

55 8. A process for producing photosensitive microcapsules comprising the steps of: forming an emulsion of an oily core material phase in a continuous aqueous phase, and enwrapping particles of said oily core material in a shell wall; characterised in that said oily core material includes an isocyanatoacrylate reactant of the formula:



wherein R1 is an alkyl, aryl, aralkyl or polyether group, m = 1, 2 or 3 and n = 2 or 3; and in that said shell wall comprises a polyurea shell wall formed by the polycondensation of said isocyanatoacrylate reactant with water from said aqueous phase.

9. A process according to Claim 8, further characterised in that said oily core material phase further comprises a diisocyanate or polyisocyanate reactant in addition to said isocyanatoacrylate reactant, said additional diisocyanate or polyisocyanate reactant being capable of reacting with the water of said aqueous phase.

10. A process according to Claims 7 or 9, further characterised in that said oil or aqueous phase additionally includes a catalyst for improving the reaction rate between said additional reactant and water.

11. A process according to Claim 10, further characterised in that said catalyst comprises dibutyltin dilaurate or diacetate.

12. A process according to any preceding claim, further characterised in that said aqueous phase includes methylated polygalacturonic acid and sulphonated polystyrene.

13. A process according to any preceding claim, further characterised in that said oily core material phase further comprises photosensitive material, preferably a radiation sensitive material comprising a photohardenable or photosoftenable compound and a photoinitiator.

14. A process according to Claim 13, further characterised in that said photosensitive material comprises a photohardenable compound comprising an ethylenically unsaturated monomer.

15. A process according to Claims 13 or 14, further characterised in that said oily core material phase further comprises an image-forming agent.

16. An imaging sheet comprising a support having on one surface thereof a layer of photosensitive microcapsules having discrete walls, said microcapsules being formed by a process according to Claims 13, 14 or 15.

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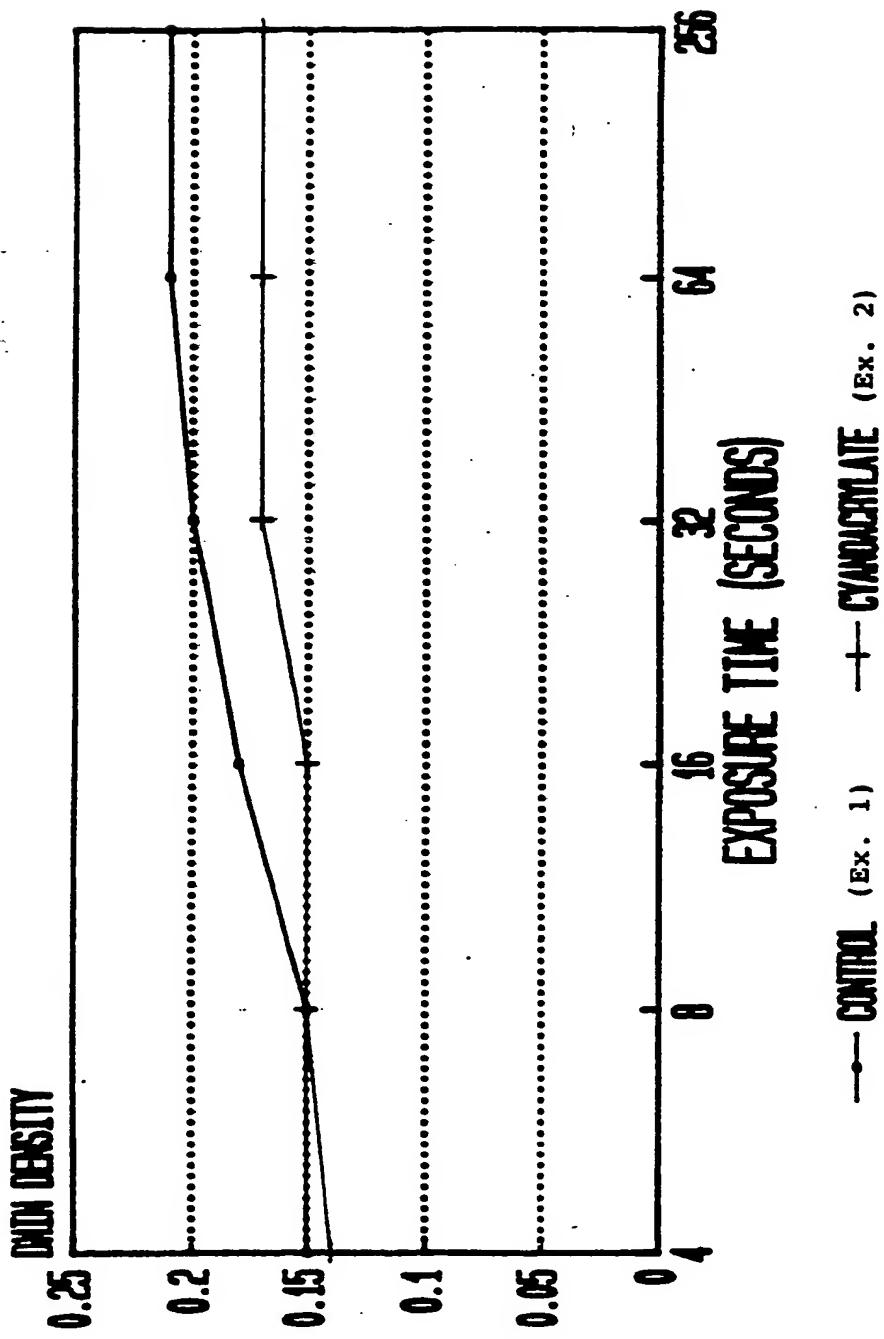
CYANOACRYLATE ENCAPSULATION STUDY  
DMIN BEHAVIOR AT 35°C - 85% RH

FIG. I

CYANOACRYLATE ENCAPSULATION STUDY  
105°C ACCELERATED AGING

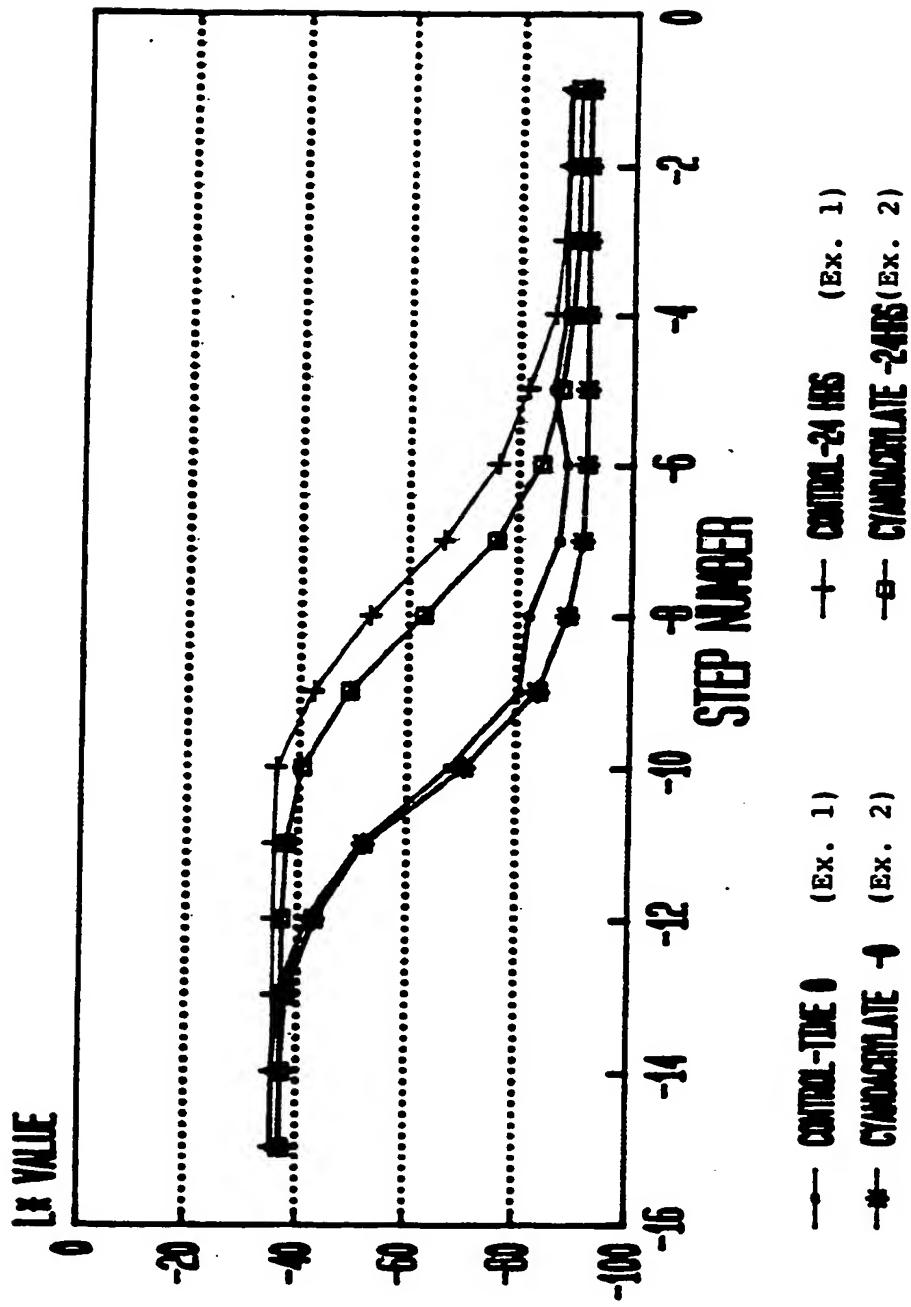


FIG. 2



European Patent  
Office

EUROPEAN SEARCH REPORT

Application Number

EP 90 30 2829

DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int. CL.5)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
A	DE-A-3 008 658 (FUJI PHOTO FILM) * Figure 12 * & US-A-4 353 809 (Cat. D) ---	1,2	B 01 J 13/18
A	GB-A-2 073 697 (MITSUBISHI PAPER MILLS) * Page 4, lines 12-24; page 6, lines 15-29 * ---	1,2	
A	CHEMICAL ABSTRACTS, vol. 83, no. 6, 11th August 1975, page 305, abstract no. 48195h, Columbus, Ohio, US; & JP-A-74 34 301 (TOMOEGAWA PAPER MFG. CO., LTD) 13-09-1974 * Abstract * -----	1,3	
			TECHNICAL FIELDS SEARCHED (Int. CL.5)
			B 01 J B 41 M G 03 F
<p>The present search report has been drawn up for all claims</p>			
Place of search	Date of completion of the search		Examiner
THE HAGUE	10-07-1990		KERRES P.M.G.
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document			